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Approved for use through 10/31/2002. OMB 0651-0032

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UTILITY PATENT APPLICATION **TRANSMITTAL**

Attorney Docket No. MI22-1914 Jerome Michael Eldridge First Inventor See 1 in Addendum

| (Only for new nonprovision | al applications under 37 CFR 1.53(b)) | Express Mail Label No. EL 844055512 US | | | | | | |
|--|---|---|--|--|--|--|--|--|
| APPLICAT | TION ELEMENTS | ASSISTANT Commissioner for Patents ADDRESS TO: Box Patent Application | | | | | | |
| See MPEP chapter 600 conce | erning utility patent application contents. | Washington, DC 20231 | | | | | | |
| 1. X Fee Transmittal For Submit on original and a d Applicant claims or See 37 CFR 1.27. | | CD-ROM or CD-R in duplicate, large table or Computer Program (<i>Appendix</i>) Nucleotide and/or Amino Acid Sequence Submission | | | | | | |
| Specification (preferred arrangement - Descriptive title e - Cross Reference - Statement Rega - Reference to sec or a computer pr - Background of t - Brief Summary - Brief Description - Detailed Description - Claim(s) - Abstract of the second seco | of the invention to Related Applications urding Fed sponsored R & D quence listing, a table, rogram listing appendix the Invention of the Invention of the Drawings (if filed) bition Disclosure | (if applicable, all necessary) a. Computer Readable Form (CRF) b. Specification Sequence Listing on: i. CD-ROM or CD-R (2 copies); or ii. paper c. Statements verifying identity of above copies ACCOMPANYING APPLICATION PARTS 9. Assignment Papers (cover sheet & document(s)) 37 CFR 3.73(b) Statement Power of Attorney 10. (when there is an assignee) Attorney 11. English Translation Document (if applicable) 12. X Information Disclosure Statement (IDS)/PTO-1449 13. X Preliminary Amendment 14. X Return Receipt Postcard (MPEP 503) (Should be specifically itemized) 15. Certified Copy of Priority Document(s) (if foreign priority is claimed) 16. Request and Certification under 35 U.S.C. 122 | | | | | | |
| | Sheet. See 37 CFR 1.76 | (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent. 17. X Other: | | | | | | |
| 18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76: | | | | | | | | |
| 19. CORRESPONDENCE ADDRESS | | | | | | | | |
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| Name | | | | | | | | |
| Address City | | State Zip Code | | | | | | |
| Country | Tel | lephone Fax | | | | | | |
| Name (Print/Type) | D. Brent Kenady | Registration No. (Attorney/Agent) 40,045 | | | | | | |
| Signature | Name (Fine Type) D. Broth Ronardy | | | | | | | |

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Addendum

1. Methods for Forming Void Regions, Dielectric Regions and Capacitor Constructions

PTO/SB/17 (11-01)
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FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision

Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT

(\$) 740.00

| Complete if Known | | | | | | |
|----------------------|--------------------------|--|--|--|--|--|
| Application Number | Priority 09/651,815 | | | | | |
| Filing Date | Priority August 30, 2000 | | | | | |
| First Named Inventor | Jerome Michael Eldridge | | | | | |
| Examiner Name | Priority L. Schillinger | | | | | |
| Group Art Unit | Priority 2813 | | | | | |
| Attorney Docket No. | MI22-1914 | | | | | |

| METHOD OF PAYMENT (check all that apply) | FEE CALCULATION (continued) | | | | | |
|--|---|---------------------|--|----------|--|--|
| X Check Credit card Money Other None | 3. ADDITIONAL FEES | | | | | |
| X Deposit Account | Large Entity Small Entity | | | | | |
| Deposit 32 0025 | Fee Fee | Fee Fee | Fee Description | Fee Paid | | |
| Account Number 23-0925 | Code (\$) 105 130 | Code (\$) 205 65 | Surcharge - late filing fee or oath | 0.00 | | |
| Deposit Account Name Wells St.John P.S. | 127 50 | 227 25 | Surcharge - late ining fee or oath Surcharge - late provisional filing fee or cover sheet | 0.00 | | |
| The Commissioner is authorized to: (check all that apply) | 139 130 | 139 130 | Non-English specification | 0.00 | | |
| Charge fee(s) indicated below X Credit any overpayments Charge any additional fee(s) during the pendency of this application | 147 2,520 | 147 2,520 | For filing a request for ex parte reexamination | 0.00 | | |
| Charge any additional fee(s) during the pendency of this application Charge fee(s) indicated below, except for the filing fee | 112 920* | 112 920* | Requesting publication of SIR prior to Examiner action | 0.00 | | |
| to the above identified deposit account | 113 1,840* | 113 1,840* | Requesting publication of SIR after | 0.00 | | |
| FEE CALCULATION | | | Examiner action | 0.00 | | |
| 1. BASIC FILING FEE | 115 110 | 215 55 | Extension for reply within first month | | | |
| Large Entity Small Entity | 116 400 | 216 200 | Extension for reply within second month | 0.00 | | |
| Fee Fee Fee Fee <u>Fee Description</u> Code (\$) Code (\$) Fee Paid | 117 920 | 217 460 | Extension for reply within third month | 0.00 | | |
| 101 740 201 370 Utility filing fee | 118 1,440 | 218 720 | Extension for reply within fourth month | 0.00 | | |
| 106 330 206 165 Design filing fee | 128 1,960 | 228 980 | Extension for reply within fifth month | 0.00 | | |
| 107 510 207 255 Plant filing fee | 119 320 | 219 160 | Notice of Appeal | 0.00 | | |
| 108 740 208 370 Reissue filing fee | 120 320 | 220 160 | Filing a brief in support of an appeal | 0.00 | | |
| 114 160 214 80 Provisional filing fee | 121 280 | 221 140 | Request for oral hearing | 0.00 | | |
| SUPTOTAL (4) (th) | 138 1,510 | 138 1,510 | Petition to institute a public use proceeding | 0.00 | | |
| SUBTOTAL (1) (\$) 740.00 | 140 110 | 240 55 | Petition to revive - unavoidable | 0.00 | | |
| 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE | 141 1,280 | 241 640 | Petition to revive - unintentional | 0.00 | | |
| Fee from Extra Claims below Fee Paid | 142 1,280 | 242 640 | Utility issue fee (or reissue) | 0.00 | | |
| Total Claims 7 -20** = 0 X 18 = 0 | 143 460 | 243 230 | Design issue fee | 0.00 | | |
| Independent 2 - 3** = 0 x 84 = 0 | 144 620 | 244 310 | Plant issue fee | 0.00 | | |
| Multiple Dependent — = 0 | 122 130 | 122 130 | Petitions to the Commissioner | 0.00 | | |
| (| 123 50 | 123 50 | Processing fee under 37 CFR 1.17(q) | 0.00 | | |
| Large Entity Small Entity Fee Fee Fee Fee Fee Description | 126 180 | 126 180 | Submission of Information Disclosure Stmt | 0.00 | | |
| Code (\$) Code (\$) 103 18 203 9 Claims in excess of 20 | 581 40 | 581 40 | Recording each patent assignment per property (times number of properties) | 0.00 | | |
| 102 84 202 42 Independent claims in excess of 3 | 146 740 | 246 370 | Filing a submission after final rejection | 2 20 | | |
| 104 280 204 140 Multiple dependent claim, if not paid | | 1 | (37 CFR § 1 129(a)) | 0.00 | | |
| 109 84 209 42 *** Reissue independent claims over original patent | 149 740 | 249 370 | For each additional invention to be examined (37 CFR § 1 129(b)) | 0.00 | | |
| 110 18 210 9 ** Reissue claims in excess of 20 | 179 740 | 279 370 | Request for Continued Examination (RCE) | 0.00 | | |
| and over original patent | 169 900 | 169 900 | Request for expedited examination of a design application | 0.00 | | |
| SUBTOTAL (2) (\$) 0.00 | Other fee (sp | pecify) | · '' | 0.00 | | |
| **or number previously paid, if greater, For Reissues, see above | *Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$)0.00 | | | | | |

| SUBMITTED BY Complete (if applicable) | | | | | | |
|---------------------------------------|-----------------|-------------------------------------|--------|-----------|----------------|--|
| Name (Print/Type) | D. Brent Kenady | Registration No (Attorney/Agent) | 40,045 | Telephone | (509) 624-4276 | |
| Signature | Buken | | | Date | 1-11-02 | |

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